
Switching Data Management

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SCHEDULE 24

Switching Data Management Schedule

Version: 1.1

Effective Date: 4 November 2022

Domestic Suppliers	Mandatory
Non-Domestic Suppliers	Mandatory
Gas Transporters	Mandatory
Distribution Network Operators	Mandatory
DCC	Mandatory
Metering Equipment Managers	Mandatory for CSS Users
Non-Party REC Service Users	Mandatory for CSS Users

Change History

Version Number	Implementation Date	Reason for Change
0.1	N/A	Version agreed for industry consultation 5 June 2018
0.2	N/A	Version agreed for industry consultation 15 October 2018
0.3	N/A	Version agreed for consultation. Incorporates CR-E37, CR-E38 and updated to take account of comments to the October 2018 consultation and wider programme review.
0.4	N/A	Updated draft for Summer 2020 publication
0.5	N/A	Updated draft for November 2020 re-baselining
0.6	N/A	Updated draft for Spring 2021 Switching Consultation
1.0	18 July 2022	Switching SCR Modification R0041
1.1	4 November 2022	R0036
1.X	XXXXXXXXXX	R0067
MHHS v0.1	N/A	MHHS required changes: Draft for industry review
MHHS v0.2	N/A	Version uplift following CCAG Approval

Commented [SJ1]: [Mop Up Update](#) - applied R0067 changes to the baseline. Not redlined as these changes are not part of MHHS drafting

MHHS v0.3	N/A	Mop up version – updated to include transition text and approved CP R0064
MHHS v0.4	N/A	MHHS required changes: Updated to reflect Mop Up consultation comments. To be issued to CDWG for assurance check.

1 Introduction

- 1.1. This [REC Schedule](#) describes the classification of [Market Messages](#) sent to and from the [CSS](#) and defines the different types of governance applicable to a [Data Item](#), including the roles of [Data Master](#), [Meta Data Owner](#) and [Data Responsible User](#).
- 1.2. This [REC Schedule](#) also defines Switching [Operation Data](#), including;
 - (a) which parties are responsible, under this [Code](#), for the accuracy and provision of Switching [Operation Data](#); and
 - (b) the processes operated between [Market Participants](#) to maintain Switching [Operation Data](#).

2 CSS related Market Messages

- 2.1. The [CSS Provider](#) and each [CSS User](#) shall ensure that [Market Messages](#), sent to and from the [CSS](#), comply with the relevant requirements of the [Data Specification](#), including in respect of its Market Message Means.
- 2.2. Each [Market Message](#) sent to or from the [CSS Provider](#) shall be subject to synchronous validation, with a synchronous response sent to the originator. The associated timescales for sending synchronous responses applicable to each [Switching Data Service Provider](#) are set out in the relevant [Service Definitions](#). For [Energy Suppliers](#) responding to an [Enquiry](#) from the [CSS Provider](#), the synchronous response shall be sent within the following timescales:
 - (a) at average hourly volume, mean response time of three seconds or less;
 - (b) at average hourly volume, 90th percentile response time of six seconds or less;
 - (c) at peak hourly volume, mean response time of five seconds or less; and
 - (d) at peak hourly volume, 90th percentile response time of eight seconds or less.
- 2.3. Where required, the [CSS Provider](#) or other [Switching Data Service Providers](#) may also be required to carry out asynchronous validation in relation to each [Market Message](#) received.

- 2.4. Where the synchronous validation set out in Paragraph 2.2 fails, or the associated response is not received, the [Market Message](#) shall be re-sent in accordance with the retry strategy. The retry strategy requires the sending [System](#) to retry sending the [Market Message](#) at intervals of 10 seconds, 20 seconds and 30 seconds from each attempt to send the [Market Message](#). If these retry [Market Messages](#) also fail, then the [Market Message](#) shall be retried at intervals of one hour up to a maximum on 12 hours; after which delivery of the [Market Message](#) shall be abandoned and a [Switching Incident](#) raised in accordance with the [Switching Service Management Schedule](#). Should a 'retry-after' header be received by the CSS, then it will respect the first three periods specified in the header, but then will revert to the back-off strategy until the remaining number of attempts (to a maximum of 15) have been completed.
- 2.5. Each [Market Message](#), sent to and from the [CSS](#), is categorised as either:
- (a) [Notification](#) – a message sent from the [CSS Provider](#) to [Market Participants](#), which requires a response that the message has been received; or
 - (b) [Enquiry](#) – a message sent from the [CSS Provider](#) to [Market Participants](#), that informs the recipient of a change in [Registration Status](#) or a [Registration Event](#), and provides the recipient with either an obligation or opportunity to respond in a structured form (within a fixed timescale);
 - (c) [Synchronisation Message](#) – a message, sent between the [Switching Data Services](#), designed to keep information in one [Switching Data Service](#) in line with that information recorded in another [Switching Data Service](#); or
 - (d) [Update](#) - a message sent to the [CSS Provider](#) which requires a response that the message has been received and a subsequent message confirming or rejecting the requested update;
 - (e) Refresh – a message sent to the [CSS Provider](#) which requires the resending of a previously sent [Market Message](#).

3 Governance of [Data Items](#)

- 3.1. [Data Items](#) are described in the [Data Specification](#).
- 3.2. Each [Data Item](#) is assigned at least one [Data Master](#) and a single [Meta Data Owner](#), as described in the [Data Specification](#). Certain [Data Items](#) are also assigned one or more [Data Responsible Users](#), as described in the [Data Specification](#). These roles are further described as follows:
- (a) [Data Master](#) - a [Market Participant](#) responsible for the stewardship of the data quality for the [Data Item](#), leading on the cleansing of that [Data Item](#) and the [Market Participant](#) responsible for the creation and update of the [Data Item](#) value. The [Data Master](#) for a [Data Item](#) may be a [Switching Data Service Provider](#), the [Code Manager](#) or the body responsible for the [Data Item](#) under this [Code](#) or another

[Energy Code](#). The quality of data will be assured by the [REC Performance Assurance Board](#) for all [Data Items](#) contained within [Market Messages](#) for which the [Code Manager](#) is defined as the [Meta Data Owner](#).

- (b) [Data Item Meta Data Owner](#) – the code body for an [Energy Code](#) (e.g. the [BSC](#), this [Code](#) or the [UNC](#)) responsible for the control of the meta data associated with the [Data Item](#). Changes to the meta data are administered in a controlled manner via the change management or modification process under the relevant [Energy Code](#), in conjunction with the [Code Manager](#)'s administration of the [Data Specification](#) as described in the [Change Management Schedule](#).
- (c) [Data Responsible User](#) - a [Market Participant](#) responsible for notifying the [Data Master](#), on an ongoing basis, if the [Market Participant](#) believes that the data quality for the [Data Item](#) can be improved, or that the fitness for purpose of a [Data Item](#) has been compromised in a way which is likely to result in a reduction of [Switching](#) reliability. [Data Responsible Users](#) are also required to support the [Data Master](#) in data cleansing activities. An example would be an [Energy Supplier](#) being the [Data Responsible User](#) for an [MPL Address](#) for which a [Distribution Network Operator](#) is the [Data Master](#). A [Data Responsible User](#)'s performance in this role will be assured by the [REC Performance Assurance Board](#).
- (d) Authorised Provider – a [Market Participant](#), who is not the [Data Master](#), who is responsible for the provision of data to another [Market Participant](#). The Authorised Provider for a [Data Item](#) may be a [Switching Data Service Provider](#), the [Code Manager](#) or the body responsible for the [Data Item](#) under this [Code](#) or another [Energy Code](#).

3.3. Each [Data Master](#) shall ensure that the value of each [Data Item](#) for which it is the [Data Master](#) is (and remains) correct and up-to-date.

3.4. Each [Data Responsible User](#) for a [Data Item](#) shall ensure that the [Data Master](#) is informed of any errors or other issues with the value of that [Data Item](#) as soon as is reasonably practicable.

4 [Switching Operation Data](#)

4.1. [Switching Operation Data](#) comprises of data required to support the validation of [CSS Market Messages](#) as defined in Paragraph 4.5. This Paragraph 4 describes the requirements for the [CSS Provider](#) and other [Market Participants](#) to undertake business processes relating to [Switching Operation Data](#) and to correctly populate [Market Messages](#) sent to and from the [CSS Provider](#).

4.2. The [BSCCo](#) and the [CDSP](#) are responsible under the [BSC](#) and [UNC](#) respectively for the collation and provision of electricity and gas [Market Participant Data](#), including the associations between [Market Participant Role](#) and an [Energy Company](#). This data is provided to the [Code Manager](#), who is responsible for onward submission to the [CSS](#).

- 4.3. Each [Data Master](#) or Authorised Provider shall provide the Switching [Operation Data](#) for which it is responsible, to the:
- (a) [CSS Provider](#) and other [Market Participants](#); and
 - (b) [Code Manager](#), where the data is needed by the [Code Manager](#) to perform its functions under this [Code](#),
 - (c) in each case as further described in this Paragraph 4 and the [Data Specification](#).
- 4.4. Switching [Operation Data](#) which relates to [Market Participants](#) is either (as identified in the [Data Specification](#)):
- (a) commercially confidential in nature and will only be shared with specific [Market Participants](#); or
 - (b) capable of being shared with all [Market Participants](#) without any restrictions.
- 4.5. The Switching [Operation Data](#) is categorised as follows:
- (a) [Electricity Market Role](#) – in the context of [Switching](#), an [Electricity Market Role](#) ~~is shall be limited to those roles defined in the Data Specification, comprising of an Electricity Supplier; a Metering Equipment Manager; a Data Aggregator, a Data Collector; a Data Aggregator; a Data Collector;~~ a [Meter Asset Provider](#); or a [Distribution Network Operator](#). The [Code Manager](#) shall be the Authorised Provider of this data to the [CSS](#).
 - (b) [Gas Market Role](#) – In the context of [Switching](#), a [Gas Market Role](#) ~~is shall be limited to those roles defined in the Data Specification, comprising of~~ a [Gas Supplier](#); a [Shipper](#); a [Metering Equipment Manager](#); a [Meter Asset Provider](#), or a [Gas Transporter](#). The [Code Manager](#) shall be the Authorised Provider of this data to the [CSS](#).
 - (c) [Electricity Market Participant Identifier](#) - means the identifier by which each electricity [Market Participant](#) is identified. For each electricity [Market Participant Identifier](#), the [BSCCo](#) shall be the [Data Master](#) and the [Code Manager](#) shall be the Authorised Provider of this data to the [CSS](#).
 - (d) [Gas Market Participant Identifier](#) - means the identifier by which each gas [Market Participant](#) is identified. For each gas [Market Participant Identifier](#), the [CDSP](#) shall be the [Data Master](#) and the [Code Manager](#) shall be the Authorised Provider of this data to the [CSS](#).
 - (e) [Market Participant Role](#) – means the unique combination of a [Market Participant Identifier](#) and a [Market Role](#).

Commented [AW2]: Amended to remove reference to DA and DC and improve drafting and clarify that it is only the specified roles are within scope of Switching Operation Data (not for example a Data Service)

Commented [SJ3R2]: Mop Up Update - re-instated reference to DA and DC for the transition period

Commented [AW4]: Amended for alignment with new para 4.4a drafting (no material change)

- (f) [Energy Company](#) – is the legal entity registered with Companies House (or another authority if incorporated outside of the UK) which holds the licence, accreditation, or qualification required in order to perform a [Market Role](#). The [Code Manager](#) shall be the [Data Master](#) for each [Energy Company Data Item](#). Where an [Energy Company](#) is not a [Party](#), the [Code Manager](#) shall create the relevant [Energy Company](#) based on [Market Participant Role](#) data provided by the [BSCCo](#) and [CDSP](#). It is possible for a [Market Participant Role](#) to change association from one [Energy Company](#) to another, where permissible under the [BSC](#) or [UNC](#), as applicable. For each [Energy Company](#) and electricity [Market Participant Role](#) association, the [BSCCo](#) shall be the [Data Master](#); for each [Energy Company](#) and gas [Market Participant Role](#) association, the [CDSP](#) shall be the [Data Master](#). The [Code Manager](#) shall be the Authorised Provider of this data to the [CSS](#).
- (g) [Energy Company One Fail All Fail \(OFAF\) Group](#) – [Energy Companies](#) may form an [Energy Company OFAF Group](#) as determined by the rules set out in Paragraph 4.6. The [Code Manager](#) is the [Data Master](#) for each [Energy Company OFAF Group Data Item](#) and the association of one or more Energy Companies to an [Energy Company OFAF Group](#).
- (h) [Registration Service Request Permission](#) – identifies whether a [Registration Service Request](#)s shall be accepted by the [CSS Provider](#) for a [Market Participant Role](#). The [Code Manager](#) is the [Data Master](#) for this [Data Item](#). The [Code Manager](#) shall apply the [Registration Service Request Permission](#) to accept if;
- (i) the [Energy Supplier](#) associated with the [Market Participant Role](#) is [Qualified](#) as required by this [Code](#); and
 - (ii) a [Market Sanction](#) has not been applied. A [Market Sanction](#) can be applied in accordance with an instruction from the [Authority](#), this [Code](#), the [BSC](#) or in accordance with the [DCUSA](#).
- (i) [Green Deal Qualified](#) – identifies an [Energy Supplier Market Participant Role](#) which is permitted to submit a [Registration Service Request](#) for an [RMP](#) which has an associated [Green Deal Plan](#). The [Code Manager](#) is the [Data Master](#) for [Green Deal Qualified Data Items](#).
- (j) [Commercial Alliance](#) – identifies a commercial association which exists between two [Market Participant](#)s. A [Commercial Alliance](#) is confidential in nature and only those [Market Participant](#)s subject to the specific association and the [CSS Provider](#) shall be notified when an association is created or terminated. The only current such [Commercial Alliance](#) is the [Shipper](#) and [Gas Supplier Commercial Alliance](#). [Shippers](#) declare under the [UNC](#) which [Gas Supplier](#) is permitted to register the [Shipper](#) in respect of an [RMP](#). The [CDSP](#) shall be the [Data Master](#) for these [Commercial Alliance Data Items](#).
- (k) [Regulatory Alliance](#) – identifies whether the necessary regulatory arrangements

exist between two [Market Participants](#). A [Regulatory Alliance](#) is confidential in nature and only the [CSS Provider](#), those [Market Participants](#) subject to the specific association, and (for [Gas Transporter](#) and [Shipper Regulatory Alliance](#)s) any [Gas Supplier](#) who has a [Commercial Alliance](#) with the [Shipper](#), shall be notified when associations are created or terminated. Such [Regulatory Alliances](#) comprise:

- (i) [Gas Transporter](#) and [Shipper Regulatory Alliance](#): which [Shipper](#) is permitted to be included within a [Pending Registration](#) for an [RMP](#) on the network of the [Gas Transporter](#). The [CDSP](#) shall be the [Data Master](#) for [Gas Transporter](#) and [Shipper Regulatory Alliance Data Items](#).
- (ii) [Distribution Network Operator](#) and [Electricity Supplier Regulatory Alliance](#): which [Electricity Supplier](#) is permitted to make a [Registration](#) for an [RMP](#) on the network of the [Distribution Network Operator](#). Each [Distribution Network Operator](#), within its [Electricity Retail Data Service](#), shall be the [Data Master](#) for [Distribution Network Operator](#) and [Electricity Supplier Regulatory Alliance Data Items](#).

(l) [Switching Reference Data](#) – identifies the [Data Item Enumerations](#), as set out in the [Data Specification](#), which are applicable to [Data Items](#) within [Market Messages](#). The [Code Manager](#) shall be the [Data Master](#) for all [Switching Reference Data](#).

(m) [Switching Parameter Data](#) – identifies key parameters which are utilised within business rule validation; including, but not limited to, objection window durations, standstill period durations and minimum switch period. The [Switching Parameter Data](#) is set out in the [Data Specification](#). The [Code Manager](#) shall be the [Data Master](#) for [Switching Parameter Data](#).

4.6. The following rules will be applied when the [Code Manager](#) creates an [Energy Company OFAF Group](#) following a request from an [Energy Company](#);

- (a) the request to create an [Energy Company OFAF Group](#) must be made to the [Code Manager](#) from an [Energy Company Corporate Group](#); and
- (b) each [Energy Company](#) within a proposed [Energy Company OFAF Group](#) must be part of the same [Energy Company Corporate Group](#) for which the request is made.

4.7. The detailed process and timings for the management of the Switching [Operation Data](#) covered by this Paragraph 4 are further described in the following interface tables:

4.8. [Market Participant Role](#) and Energy Company data

Ref	When	Action	From	To	Interface	Means
Notification of a new Market Participant Role for CSS User						
4.8.1	Within 1WD following the assignment of a Market Participant Role for an Energy Supplier , Network Operator, MEM , Shipper or, MAP , Data Collector or Data Aggregator , Data Collector or Data Aggregator under the BSC and/or UNC .	Notify new Market Participant Role created.	CDSP ; or BSCCo	Code Manager	Gas Market Participant File Electricity Market Participant File	Email or other agreed means
Creation of a new Energy Company						
4.8.2	Following 4.8.1 or, in the case of an Energy Supplier , Network Operators or MEM , following accession to this Code .	Create new Energy Company record from REC accession documentation, Gas Market Participant File or Electricity Market Participant File, as appropriate.	Code Manager		Internal Process	N/A
4.8.3	Following 4.8.2 and within 1WD.	Notify new Energy Company created.	Code Manager	CSS Provider	Energy Company	CSS API
4.8.4	Following 4.8.3 where the message passes synchronous validation.	Create new Energy Company record.	CSS Provider		Internal Process	N/A

Commented [AW5]: Removed reference to DA and DC

Commented [SJ6R5]: [Mop Up Update](#) - reinstated reference to DA and DC for transition period

4.8.5	Following 4.8.4	Notify new Energy Company created.	Code Manager	CDSP BSCCo	Not defined	Not defined
Association of a new Market Participant Role to an Energy Company						
4.8.6	On receipt of data described in 4.8.1.	Notify new Market Participant Role created.	Code Manager		Internal Process	N/A
4.8.7	Following 4.8.1 and within 1 WD	Associate new Market Participant Role to an existing Energy Company and notify new Market Participant Role created. A new Market Participant Role must be associated to an Energy Company and can only be notified to the CSS Provider . <i>Where the new Market Participant is a MOA, the Code Manager will convert the Market Role Code for Smart Meter Operator Agents (S) and / or Advanced Meter Operator Agents (T) and provide this to CSS as the legacy Meter Operator Agent value (M).</i>	Code Manager	CSS Provider	Market Participant Role	CSS API
4.8.8	Following 4.8.7 where the message passes synchronous validation.	Associate new Market Participant Role to an existing	CSS Provider		Internal Process	N/A

Commented [SJ7]: [Post Mop Up Consultation Update](#) - amendment to correct typo CD6-108

Commented [SJ8]: [Mop Up Update](#) - additional content to clarify the requirement for the Code Manager to convert the market role code for Smart and Advanced MOAs to the generic MEM Role Code of M. Note the change from MEM to MOA to reflect R0064

		Energy Company.				
4.8.9	Following 4.8.8.	Notify new Market Participant Role created	Code Manager	CDSP BSCCo	Not defined	Not defined
Where an existing Market Participant Role changes Energy Company excluding Supplier of Last Resort						
4.8.1 0	Within 1WD of an update to the association between an existing Market Participant Role and Energy Company being agreed in accordance with the BSC / UNC .	Notify changed Market Participant Role and Energy Company association.	CDSP ; or BSCCo	Code Manager	Gas Market Participant File Electricity Market Participant File	Email or other agreed means
4.8.1 1	On receipt of data described in 4.8.10.	Amend Market Participant Role association to a different Energy Company .	Code Manager		Internal Process	
4.8.1 2	At the time the change is required to take effect.	Notify changed to Market Participant Role and Energy Company association.	Code Manager	CSS Provider	Market Participant Role	CSS API
4.8.1 3	Following 4.8.12 where the message passes synchronous validation.	Amend Market Participant Role association to a different Energy Company .	CSS Provider		Internal Process	

4.8.1 4	Following 4.8.13.	Notify change to Market Participant Role and Energy Company association has been made.	Code Manager	CDSP BSCCo	Not defined	Not defined
Where a new Energy Supplier becomes Qualified or exits the market						
4.8.1 5	When an Energy Supplier becomes Qualified under this Code ² .	For associated Market Participant Role(s) , set Registration Permission From Date.	Code Manager		Internal Process	
4.8.1 6	When an Energy Supplier exits the market under this Code .	For associated Market Participant Role(s) , set Registration Permission To Date.	Code Manager		Internal Process	
4.8.1 7	Following 4.8.15 or 4.8.16 and within 1WD .	Notify changed Market Participant Role data.	Code Manager	CSS Provider	Market Participant Role	CSS API
4.8.1 8	Following 4.8.17 where the message passes synchronous validation.	Update Market Participant Role data.	CSS Provider		Internal Process	
Where an Electricity Supplier becomes a GDCC User or is no longer a GDCC User ³						

4.8.19	When a Market Participant Role becomes a Green Deal User .	Set Green Deal From Date	Code Manager		Internal Process	
4.8.20	When a Market Participant Role is no longer a Green Deal User .	Set Green Deal To Date	Code Manager		Internal Process	
4.8.21	Following 4.8.19 or 4.8.20 and within 1WD.	Notify changed Market Participant Role data.	Code Manager	CSS Provider	Market Participant Role	CSS API
4.8.22	Following 4.8.21 where the message passes synchronous validation.	Update Market Participant Role data.	CSS Provider		Internal Process	
Where a Market Participant Role is ended						
4.8.23	Within 1WD of an update to the Market Participant Role end date being agreed in accordance with the BSC or UNC .	Notify that Market Participant Role is ending.	CDSP ; or BSCCo	Code Manager	Gas Market Participation File Electricity Market Participation File	Email or other agreed means
4.8.24	On receipt of data described in 4.8.23.	Update Market Participant Role data.	Code Manager		Internal Process	
4.8.25	Following the effective to date specified in the Gas Market Participant File or Electricity Market Participant File.	Notify that Market Participant Role has ended.	Code Manager	CSS	Terminate Market Participant Role	CSS API
4.8.26	Following 4.8.22 where the message passes synchronous validation.	Update Market Participant Role data.	CSS Provider		Internal Process	

4.8.2 7	Following 4.8.26	Notify Market Participant Role ended.	Code Manager	CDSP BSCCo	Not defined	Not defined
Where an Energy Company is ended						
4.8.2 8	Only following the ending of all associated Market Participant Roles	Notify that the Energy Company is ended.	Code Manager	CSS Provider	Terminate Energy Company	CSS API
4.8.2 9	Following 4.8.28.	Update Energy Company data.	CSS Provider		Internal Process	
Where the Code Manager is notified by an Energy Company Corporate Group that they wish to create a new, or amend an existing Energy Company OFAF Group						
4.8.3 0	An Energy Company Corporate Group wishes to create a new or amend an existing Energy Company OFAF Group .	Issue request to update data.	Energy Company	Code Manager	Code Manager Service Request	REC Portal
4.8.3 1	Following 4.8.30 and within 3WDs.	Issue updated Energy Company OFAF Group data.	Code Manager	CSS Provider	OFAF Group Service Request	CSS API
4.8.3 2	Following 4.8.31 where the message passes synchronous validation.	Configure service to support new Energy Company OFAF Group Structure.	CSS Provider		Internal Process	
4.8.3 3	Following 4.8.32	Notify OFAF Group data updated	Code Manager	Energy Company	Code Manager Service Request	REC Portal

² The [Energy Supplier](#) must have undertaken all requirements as set out in the [Qualification and Maintenance Schedule](#).

³ As set out in the [Green Deal Arrangements Schedule](#)

4.9. Creation or End of a [Shipper](#) and [Gas Supplier Commercial Alliance](#)

Ref	When	Action	From	To	Information Required	Market Message Means
Where a Commercial Alliance between a Shipper and Gas Supplier is created						
4.9.1	Following notification from a Shipper of a requirement to create a Commercial Alliance in accordance with the UNC .	Notify a new Commercial Alliance .	Gas Retail Data Agent	CSS Provider	Market Participant Role Alliance	CSS API
4.9.2	Following 4.9.1. where the message has passed synchronous validation.	Update Commercial Alliance data.	CSS Provider		Internal Process	
Where a Commercial Alliance between a Shipper and Gas Supplier is ended						
4.9.3	Following notification from a Shipper of a requirement to end a Commercial Alliance in accordance with the UNC .	Notify ended Commercial Alliance .	Gas Retail Data Agent	CSS Provider	Market Participant Role Alliance	CSS API
4.9.4	Following 4.9.3 where the message has passed synchronous validation.	Update Commercial Alliance data.	CSS Provider		Internal Process	

4.10. Creation or end of a [Regulatory Alliance](#)

Ref	When	Action	From	To	Information Required	Market Message
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						Means
Where a Regulatory Alliance between a Shipper and Gas Transporter is created						
4.10.1	Following notification that a new Gas Transporter Regulatory Alliance with a Shipper has been created in accordance with the UNC .	Notify new Regulatory Alliance .	Gas Retail Data Agent	CSS Provider	Market Participant Role Alliance	CSS API
4.10.2	Following 4.10.1. where the message has passed synchronous validation.	Update Regulatory Alliance data.	CSS Provider		Internal Process	
Where a Regulatory Alliance between a Shipper and Gas Transporter is ended						
4.10.3	Following notification that a Gas Transporter Regulatory Alliance with a Shipper has been ended in accordance with the UNC .	Notify Regulatory Alliance has ended.	Gas Retail Data Agent	CSS Provider	Market Participant Role Alliance	CSS API
4.10.4	Following 4.10.3 where the message has passed synchronous validation.	Update Regulatory Alliance data.	CSS Provider		Internal Process	
Where a Regulatory Alliance between an Electricity Supplier and Distribution Network Operator is created						
4.10.5	Following notification from Distribution Network Operator of a new Regulatory Alliance with an Energy Supplier .	Notify new Regulatory Alliance .	Electricity Retail Data Agent	CSS Provider	Market Participant Role Alliance	CSS API
4.10.6	Following 4.10.5. where the message has passed synchronous validation.	Update Regulatory Alliance data.	CSS Provider		Internal Process	
Where a Regulatory Alliance between an Electricity Supplier and Distribution Network Operator is ended						

4.10.7	Following notification from Distribution Network Operator of an end to a Regulatory Alliance with an Energy Supplier .	Notify changed Regulatory Alliance .	Distribution Network Operator	CSS Provider	Market Participant Role Alliance	CSS API
4.10.8	Following 4.10.7 where the message has passed synchronous validation.	Update Regulatory Alliance data.	CSS Provider		Internal Process	

4.11. Update to [Market Sanction](#) Status

Ref	When	Action	From	To	Information Required	Market Message Means
Where a Market Sanction is applied or removed from an Energy Supplier under this Code						
4.11.1	As soon as reasonably practicable following instruction from the REC Performance Assurance Board .	Update Registration Permission From Date or Registration Permission To Date.	Code Manager		Internal Process	
4.11.2	Following 4.11.1.	Notify new or removed Market Sanction .	Code Manager	CSS Provider	Market Participant Role	CSS API
4.11.3	In conjunction with 4.11.2.	Notify new or removed Market Sanction .	Code Manager	Energy Supplier	Sanction Notice	Not defined
4.11.4	Following 4.11.2 where the message passes synchronous validation.	Update Market Sanction data.	CSS Provider		Internal Process	
Where a Market Sanction is applied or removed from an Electricity Supplier under the BSC or DCUSA						
4.11.5	As soon as reasonably practicable following a change	Notify new or removed Market Sanction .	BSCCo or DCUSA	Code Manager	Not defined	Secure means

Commented [AW9]: Assumption that compliance with the DIP requirements will be a BSC matter. BSCCo would therefore inform Code Manager where there was a Market Sanction for a DIP related issue (as it would for any BSC issue). For Suppliers, the Code Manager would use the process set out here to restrict the ability to switch customers. For MEMs, DNOs (and Suppliers) it is expected that maintaining DIP qualification would be a REC Qualification requirement and that where it had been removed under the BSC, this would trigger a Code Manager review of the Party's REC Qualification status. No change to REC drafting proposed.

	to an Electricity Supplier's Market Sanction status ⁴ .		Secretariat			agreed bilaterally
4.11.6	Following 4.11.5.	Update Registration Permission From Date or Registration Permission To Date.	Code Manager		Internal Process	
4.11.7	Following 4.11.6 and within 1WD.	Send updated Registration Permission To Date or Registration Permission From Date.	Code Manager	CSS Provider	Market Participant Role	CSS API
4.11.8	Following 4.11.7 where the message passes synchronous validation.	Update Registration Permission data.	CSS Provider		Internal Process	
4.11.9	By the next Working Day following 4.11.8.	Send confirmation that CSS updated with updated Registration Permission.	Code Manager	BSCCo or DCUSA Secretariat	Not defined	Secure means agreed bilaterally

⁴ The activities conducted prior to this step are defined within the BSC or DCUSA. The Code Manager will receive instruction and apply the required changes without the involvement of the REC PAB.

4.12. Update of [Switching Parameter Data](#) and [Switching Reference Data](#)

4.13. [Switching Parameter Data](#) and / or [Switching Reference Data](#) may only be amended via a [Change Proposal](#) agreed in accordance with the [Change Management Schedule](#).